

DEPARTMENT OF THE TREASURY
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS
APPLICATION FOR TRANSFER OF SPIRITS AND/OR
DENATURED SPIRITS IN BOND
(See instructions on reverse.)

1. SERIAL NUMBER (Begin with
"1" each January 1)

PART I - APPLICATION

APPLICATION IS MADE FOR TRANSFER OF SPIRITS AND/OR DENATURED SPIRITS IN BOND, AS INDICATED BELOW

2. TO BE TRANSFERRED:

- ☐ SPIRITS
☐ DENATURED SPIRITS

3. TRANSFERS WILL BE RECEIVED AT:

a. PLANT NUMBER

b. ADDRESS

4. TRANSFERS WILL BE MADE FROM:

a. PLANT NUMBER

b. ADDRESS

c. OPERATED BY

5. BOND INFORMATION (Complete 5a., plus 5b. or 5c.)

a. APPROVAL DATE OF MY
BOND (ATF F 5110.56) IS:

b. ☐ I HAVE MAXIMUM BOND COVERAGE
UNDER 27 CFR 19.245 - NO LIMIT TO
AMOUNT OF SHIPMENTS

c. ☐ I HAVE LESS THAN MAXIMUM BOND
COVERAGE UNDER 27 CFR 19.245. MY
AMOUNT OF COVERAGE IS:
\$

6. SHIPMENT INFORMATION (Complete 6a. and/or 6b. below ONLY IF 5c. ABOVE IS CHECKED)

a. ☐ QUANTITY OF SPIRITS AUTHORIZED TO BE TRANSFERRED
(Computation: Amount shown in 5c. above divided by \$12.50
per proof gallon):

PROOF GALLONS ▶

b. ☐ QUANTITY OF DENATURED SPIRITS AUTHORIZED TO BE
TRANSFERRED (Computation: Amount shown in 5c. above
divided by \$25.00 per wine gallon):

WINE GALLONS ▶

IMPORTANT
NOTICE



THE PROPRIETOR IS RESPONSIBLE FOR ENSURING THAT THE LIABILITY ON ALL SPIRITS
AND DENATURED SPIRITS "ON HAND" AND "IN TRANSIT" WILL NOT EXCEED THE BOND
COVERAGE AS SHOWN IN 5c. ABOVE.

7. REMARKS

8. DATE

9. PROPRIETOR

10. BY (Signature and Title)

PART II - APPROVAL

I certify that the penal sum of the applicant's bond is sufficient to cover the spirits and/or denatured spirits indicated.
APPLICATION IS APPROVED.

11. DATE

12. SIGNATURE OF REGIONAL DIRECTOR (COMPLIANCE)

INSTRUCTIONS

APPLICATION

1. When a proprietor of a distilled spirits plant desires to have spirits or denatured spirits transferred to him in bond from another domestic plant, he shall make application for such transfer on this form, in triplicate, and submit all copies to the Regional Director (Compliance).
2. The proprietor must: (a) hold a current operations or unit bond in the maximum penal sum, or (b) hold a current bond sufficient to cover tax on the spirits or denatured spirits to be transferred (in addition to all other liabilities chargeable against such bond), if the bond is in less than the maximum penal sum.
3. If the application is approved, the Regional Director (Compliance) will complete Part II on all copies of the form, retain one copy, and return the remaining copies to the applicant. The applicant shall deliver one of the approved copies to the consignor, and retain one copy for his files.

TERM OF APPLICATION

The approved application remains in effect until the bond is terminated. In the case where there is less than a maximum bond, the approved application terminates when the penal sum is changed. If an applicant desires to terminate an approved application at an earlier date, he shall: (a) retrieve the consignor's copy, and (b) return this copy, together with his own copy to the Regional Director (Compliance) for cancellation.

PAPERWORK REDUCTION ACT NOTICE

This request in accordance with the Paperwork Reduction Act of 1980. The information collection is used to make a determination of the eligibility of the person to withdraw and use taxable commodities on which tax has not been paid. The information required is mandatory by statute 26 USC 5005 (c) and is required to obtain or retain a benefit.

The estimated average burden associated with this collection of information is 12 minutes per respondent or record-keeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Information Programs Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, D.C. 20226, and the Office of Management and Budget, Paperwork Reduction Project (1512-0191), Washington, D.C. 20503.